Docket No.: REG 990A

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: DAVID J. GLASS ET AL.

Serial No.: to be assigned **Group:** to be assigned

Filing Date: Concurrently Herewith Examiner: to be assigned

Title: HIV-SPECIFIC FUSION PROTEINS AND THERAPEUTIC AND DIAGNOSTIC METHODS FOR USE

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §§ 1.56 and 1.97

Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450.

Dear Sir:

In compliance with the applicant's duty to submit information material to patentability of the above-referenced case, Applicants herein submit the following Information Disclosure Statement with the accompanying PTO Form 1449. The PTO is kindly requested to make of record those references which may be pertinent to the examination of the above-referenced application.

This Information Disclosure Statement is being filed:

[X] (b) Within three months of the filing date of the above referenced application; within three months of the entry of an international application into the national phase; before the mailing date of a first Office action on the merits; or before the mailing of a first Office action after the filing of a request for continued examination under § 1.114. Accordingly, no fee is required for the submission. Docket No.: REG 990A

Glass et al. IDS Transmittal

Fees

No fee is required for the filing of this Information Disclosure Statement. If any additional fee should be deemed necessary, the Commissioner is hereby authorized to charge Deposit Account Number 18-0650.

Respectfully submitted

Valeta Gregg Reg. No. 35,127

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FORM PTO-1449

Att. Docket No. REG 990A

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Att. Docket No.

REG 990A

Serial No.

to be assigned

Applicant:

David J. Glass al.

Date Filed

Concurrently herewith

For

HIV-SPECIFIC FUSION PROTEINS

AND THERAPEUTIC AND

DIAGNOSTIC METHODS FOR USE

Examiner

Not Yet Known

Group Art Unit

Not Yet Known

Commissioner of Patents P.O. Box 1450 Alexandria, VA 22313-1450

U.S. PATENT DOCUMENTS

| Examiner | Patent | Patent | <u>Name</u> | Class/ | Filing |
|-----------------|---------------|---------------|---------------|----------|--------------|
| <u>Initials</u> | <u>Number</u> | <u>Date</u> | | Subclass | <u>Date</u> |
| | 5,897,861 | Apr. 27, 1999 | Fanger et al. | | May 16, 1994 |

FOREIGN PATENT DOCUMENTS

| Examiner <u>Initials</u> | Document <u>Number</u> | <u>Date</u> | Country | Class/ Subclass | Translation <u>Yes</u> <u>No</u> |
|-----------------------------|---------------------------|-------------|---------|--------------------|-------------------------------------|
| | | | | | |

REG 990A USSN to be assigned PTO 1449 Form Glass et al. Page 2

OTHER DOCUMENTS

| Examiner Initials | |
|------------------------|------|
| EXAMINER CONSIDERED | DATE |

EXAMINER:

Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Respectfully submitted,

By:

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